

EMPATHY IN FAIRY TALES

GÖTTINGEN–HILDESHEIM WORKSHOP

Gabriela Rotari

Supervisors: Gerhard Lauer, Marco Büchler & Greta Franzini

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- Early **PhD student** in **German Philology** at the Graduate School of Humanities Göttingen (GSGG)
- Interests in **Cognitive Literature** and **Sentiment Analysis**
 - Master thesis: "Kafka's Style. A Qualitative Approach"
 - PhD dissertation: "Empathy in Fairy Tales"

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EMPATHY & EMOTION

EMPATHY DEFINITIONS

- **Reactions** of one individual to the observed **experiences** of another (Davis, 1983c)
- The **understanding** of others' **feelings** (Kohler, 1929)

EMPATHY SCALES

- **Perspective Taking** - the tendency to spontaneously adopt the psychological point of view of others
- **Fantasy** - respondents' tendencies to transpose themselves imaginatively into the feelings and actions of fictitious characters in books, movies, and plays
- **Empathic Concern** - "other-oriented" feelings of sympathy and concern for unfortunate others
- **Personal Distress** - "self-oriented" feelings of personal anxiety and unease in tense interpersonal settings

MOVIE CASE STUDY

What are the emotions you feel?



Figure 1: Vertigo directed by Alfred Hitchcock (1958).



Figure 2: Blade-Runner directed by Ridley Scott (1982).

- When the protagonist was doing well
 - => positive emotions: happiness, pleasure, relief
- When the protagonist's plans went bad
 - => negative emotions: anxiety, anger, frustration
- When the antagonist's plans were going well
 - => negative emotions
- When the antagonist's plans suffered setbacks
 - => positive emotions

Trabasso & Chung, 2004

Positive emotions → **EMPATHY** → Willingness to help

Barlett & Desteno, 2006

- **Empathy** is strongly related to altruistic behavior

Eisenberg & Miller, 1987; Batson, Ahmad, Lishner, & Tsang, 2002

- **Perspective taking** and **emphatic concern** are significant predictors of **prosocial behavior**

Davis, 1983a/b; Litvak-Miller & McDougall, 1997; Hoffman, 2000

SENTIMENT ANALYSIS TOOLS

WHICH are those clues in a fairy tale that prompt high empathic responses?

How can **emotions** be identified **computationally**?

- **Linguistic Inquiry and Word Count (LIWC)** software (Pennebaker, et al., 2007)
- **The NRC Emotion Lexicon** (Mohammad & Yang, 2011)

LIWC2015:

- 80 default dictionaries
- 6,400 words, word stems, and selected emoticons

Detect superficial **linguistic features** of the text:

- positive emotions
- negative emotions (anxiety, anger, sadness)
- social words (family, friends)
- cognitive processes (insight, cause, certainty)
- long words (more than six letters)
- self references (I, me)
- other references (he, they)

NRC Emotion Lexicon:

- The Roget Thesaurus
- 14,182 words types

Emotions: (Plutchik, 1980)

anger

anticipation

disgust

fear

joy

sadness

surprise

trust

Sentiments:

negative emotions

positive emotions

"The Queen Bee"

- By the Brothers Grimm, 1857;
- English translation by F. J. Olcott, 1927.¹

Table 1: The Distribution of Traditional Dimensions in "The Queen Bee".

| Traditional LIWC Dimension | The Queen Bee |
|----------------------------|---------------|
| I-words (I, me, my) | 0.4 |
| Social words | 13.8 |
| Positive emotions | 1.1 |
| Negative emotions | 1.0 |
| Cognitive processes | 8.2 |

Table 2: The Distribution of Summary Variables in "The Queen Bee".

| Summary Variables | The Queen Bee |
|-------------------|---------------|
| Analytic | 87.3 |
| Clout | 82.5 |
| Authenticity | 40.6 |
| Emotional tones | 27.9 |

EMOTION WORDS IN NOVELS VS. FAIRY TALES

Table 3: Density of emotion words in novels and fairy tales: number of emotion words in every 10,000 words.

| | anger | | anticip. | | disgust | | fear | |
|-----|------------|----------|-------------|----------|------------|----------|------|----------|
| | mean | σ | mean | σ | mean | σ | mean | σ |
| CEN | 746 | 162 | 1230 | 126 | 591 | 135 | 975 | 225 |
| FTC | 749 | 393 | 1394 | 460 | 682 | 460 | 910 | 454 |

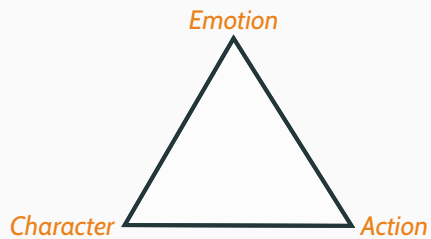
| | joy | | sadness | | surprise | | trust | |
|-----|-------------|----------|------------|----------|------------|----------|-------|----------|
| | mean | σ | mean | σ | mean | σ | mean | σ |
| CEN | 1164 | 196 | 785 | 159 | 628 | 93 | 1473 | 190 |
| FTC | 1417 | 467 | 814 | 443 | 680 | 325 | 1348 | 491 |

Mohammad, 2013

Results:

- Mean values for **anger** and **sadness** across Corpus of English Novels and Fairy Tale Corpus are **not** significantly **different**
- **Fairy tales:**
 - **higher anticipation, disgust, joy, and surprise densities**
 - lower trust word density
 - much larger standard deviation
 - lower number of negative terms
 - **higher number of positive words**

LIMITATIONS



Lack in determining fine-grained **distinctions** in emotion and in its appraisal types

- Further examine sentiment analysis tools;
- Identify the best-suited approach to detect emotions in fairy tales;
- Run computational analyses on the fairy tales of Brothers Grimm;
- Study the results.

- Model in detecting emotions in fairy tales;
- Set of fairy tales that augment empathy;
- Social value.

**THANK YOU.
QUESTIONS?**

Presentation

Gabriela Rotari

Visit me



<http://www.etrp.eu>



grotari@etrp.eu



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APPENDIX

Public domain

- Vertigo.
At: [https://commons.wikimedia.org/wiki/Category:Vertigo_\(trailer_screenshots\)#/media/File:Vertigo_1958_trailer_Stewart_in_bed.jpg](https://commons.wikimedia.org/wiki/Category:Vertigo_(trailer_screenshots)#/media/File:Vertigo_1958_trailer_Stewart_in_bed.jpg) (Accessed: 11 October 2016).
- Blade-Runner.
At: https://en.wikipedia.org/wiki/Blade_Runner#/media/File:Blade_Runner_poster.jpg (Accessed: 11 October 2016).

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